

# Coronary artery lesion phenotype in frail older patients with non-ST-elevation acute coronary syndrome undergoing invasive care



Sophie Z. Gu<sup>1,2</sup>, MBChB, MRCP; Weiliang Qiu<sup>3</sup>, PhD; Jonathan A. Batty<sup>4</sup>, MBChB; Hannah Sinclair<sup>2</sup>, MBChB, MRCP; Murugapathy Veerasamy<sup>2</sup>, MBBS; Salvatore Brugaletta<sup>5</sup>, MD, PhD; Dermot Neely<sup>6</sup>, MD; Gary Ford<sup>7</sup>, MD; Patrick A. Calvert<sup>8</sup>, PhD; Gary S. Mintz<sup>9</sup>, MD; Vijay Kunadian<sup>1,2\*</sup>, MD, FRCP; ICON1 Study Investigators

1. Institute of Cellular Medicine, Newcastle University, Newcastle upon Tyne, United Kingdom; 2. Cardiothoracic Centre, Freeman Hospital, Newcastle upon Tyne, United Kingdom; 3. Channing Division of Network Medicine, Harvard Medical School, Boston, MA, USA; 4. Departments of Epidemiology and Biostatistics, Harvard T.H. Chan School of Public Health, Harvard University, Boston, MA, USA; 5. Hospital Clínic, Cardiovascular Clinic Institute, Institut d'Investigacions Biomèdiques August Pi i Sunyer (IDIBAPS), Barcelona, Spain; 6. Department of Biochemistry, Newcastle upon Tyne Hospitals NHS Foundation Trust, Newcastle upon Tyne, United Kingdom; 7. Oxford University Hospitals NHS Foundation Trust, Oxford, United Kingdom; 8. Papworth Hospital NHS Foundation Trust, Cambridge, United Kingdom; 9. Cardiovascular Research Foundation, New York, NY, USA

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## KEYWORDS

- ACS/NSTE-ACS
- clinical research
- clinical trials
- elderly (>75)
- intravascular ultrasound

## Abstract

**Aims:** The association of frailty with coronary plaque phenotype among older patients with non-ST-elevation acute coronary syndrome (NSTEMI) is not known. The aim of this study was to evaluate the association of frailty with coronary plaque phenotype among older patients with NSTEMI.

**Methods and results:** Older patients with NSTEMI who underwent invasive angiography were recruited. Frailty was measured using the Fried frailty score. Following angiography, patients underwent greyscale and virtual histology intravascular ultrasound (VH-IVUS) imaging. Of the 90 patients, 26 (28.9%) were robust, 49 (54.4%) patients were pre-frail, and 15 (16.7%) were frail. Mean age was 80.9±3.8 years; 59 (65.6%) were male. Compared to robust patients, the pre-frail group had a significantly greater presence of high-risk lesions including VH thin-cap fibroatheroma (TCFA,  $p=0.011$ ), minimum lumen area (MLA)  $\leq 4 \text{ mm}^2$  ( $p=0.016$ ), TCFA+MLA  $\leq 4 \text{ mm}^2$  ( $p=0.005$ ), TCFA+plaque burden (PB)  $\geq 70\%$  ( $p=0.005$ ) and TCFA+PB  $\geq 70\%$ +MLA  $\leq 4 \text{ mm}^2$  ( $p=0.003$ ). By age- and sex-adjusted logistic regression analysis, frailty was found to be strongly and independently associated with the presence of TCFA (odds ratio [OR] 2.81, 95% confidence interval [CI]: 1.06-7.48,  $p=0.039$ ).

**Conclusions:** This is the first study to report the relationship between frailty phenotype and coronary plaque morphology among frail older NSTEMI patients. ClinicalTrials.gov Identifier: NCT01933581

\*Corresponding author: Institute of Cellular Medicine, 4th Floor, William Leech Building, Newcastle University, Newcastle upon Tyne, NE2 4HH, United Kingdom. E-mail: [vijay.kunadian@newcastle.ac.uk](mailto:vijay.kunadian@newcastle.ac.uk)

## Abbreviations

<b>BARC</b>	Bleeding Academic Research Consortium
<b>IHD</b>	ischaemic heart disease
<b>MACE</b>	major adverse cardiovascular events
<b>MI</b>	myocardial infarction
<b>NSTEACS</b>	non-ST-elevation acute coronary syndrome
<b>PCI</b>	percutaneous coronary intervention
<b>TCFA</b>	thin-cap fibroatheroma
<b>VH-IVUS</b>	virtual histology intravascular ultrasound

## Introduction

Our population is rapidly ageing. Twenty-five to 50% of patients with cardiovascular disease can be identified as frail<sup>1,2</sup>. The prognostic impact of frailty following non-ST-elevation acute coronary syndrome (NSTEACS) has been demonstrated in many previous studies<sup>3-9</sup>. However, this high-risk older patient cohort is underrepresented in clinical trials<sup>10</sup>. Recent trials evaluating an early invasive strategy in the elderly with NSTEACS have lacked statistical power to detect any mortality benefit; frailty was not assessed nor was high-risk plaque phenotype by intravascular imaging<sup>11-13</sup>. Thus, older, frail patients are less likely to receive advanced care including invasive angiography and guideline-directed medical treatment due to uncertainty concerning the risks and benefits<sup>14,15</sup>.

The main cause of heart disease mortality is rupture of a thin-cap fibroatheroma (TCFA), also known as vulnerable plaque. Coronary artery plaque burden and morphology among older patients and their association with frailty have not been studied. Previous studies investigating plaque composition (using intravascular ultrasound [IVUS]) and adverse cardiovascular outcomes all evaluated a much younger patient population<sup>16-18</sup>. Therefore, the aim of this study was to evaluate the association of frailty with coronary plaque phenotype among older patients with NSTEACS. Whether frailty was independently associated with high-risk plaques was also evaluated.

## Methods

### STUDY DESIGN

The current study is a subgroup invasive imaging (virtual histology intravascular ultrasound [VH-IVUS] study) analysis of the study to Improve Cardiovascular Outcomes in high-risk patients with acute coronary syndrome (ICON1). The ICON1 study was carried out in accordance with the Declaration of Helsinki.

Ethical approval was gained from the National Research Ethics Service (12/NE/01600). Written, informed consent was obtained from all participants prior to enrolment into the study. ICON1 was registered with the United Kingdom Clinical Research Network (UKCRN; ID 12742) and ClinicalTrials.gov (NCT01933581). The ICON1 study protocol has been published previously<sup>19</sup>.

The ICON1 study was designed as a multicentre prospective observational study of patients aged  $\geq 75$  years undergoing invasive management for NSTEACS. Patients referred to two tertiary cardiac centres were recruited between November 2012 and

December 2015. One-year follow-up was completed in December 2016. The screening log data from this study have been published previously<sup>20</sup>. In total, 298 patients were enrolled in the ICON1 study and the one-year clinical outcomes for the whole study have been published<sup>21</sup>. The current invasive imaging subgroup analysis included data from 90 patients.

The primary outcome measure for this VH-IVUS subgroup study is the prevalence of vulnerable plaque virtual histology thin-cap fibroatheroma (VH-TCFA) in frail and non-frail patients. We hypothesise that frail patients have a greater presence of high-risk lesions including VH-TCFA and that frailty is independently associated with high-risk plaques.

### FRAILITY ASSESSMENT

Frailty status was assessed using the Fried frailty criteria derived from the Cardiovascular Health Study, which consists of subjective and objective assessment in five domains: weight loss, exhaustion, physical inactivity, weakness, and slow walking/getting up from chair<sup>2</sup>. Each criterion provides a score of one point, and the sum is used to define frailty status, a score of 0 being robust, a score of 1 or 2 being pre-frail, and a score  $\geq 3$  being frail.

### VIRTUAL HISTOLOGY INTRAVASCULAR ULTRASOUND (VH-IVUS) STUDY

Following diagnostic coronary angiography, patients underwent VH-IVUS imaging of all three coronary arteries prior to percutaneous coronary intervention (PCI), where this was feasible and not contraindicated. A 20 MHz, phased array Eagle Eye<sup>®</sup> Platinum catheter was mounted on an R-100 pullback device and connected to either an integrated s5i system or a mobile s5 tower (all Philips Volcano, San Diego, CA, USA). Image acquisition was performed at a pullback speed of 0.5 mm/s and was ECG-gated. The maximum feasible length of all three coronary arteries was imaged. The data were anonymised and transferred to DVD for off-line data analysis. The operator was blinded to these data.

### ANALYSIS OF IVUS DATA

VH-IVUS data analysis was performed in the Newcastle Angiography/IVUS/optical coherence tomography (OCT) core laboratory using the Medis QIVUS software, versions 2.2 and 3.0 (Medis medical imaging systems, Leiden, the Netherlands). Contours were drawn manually around the external elastic membrane (EEM) and lumen of the vessel for each greyscale IVUS frame, excluding any ring-down artefact or previously stented segments. Quantitative IVUS measurements included cross-sectional areas of EEM, lumen, plaque and media area (cross-sectional area of the EEM minus that of the lumen), plaque burden (PB: plaque and media cross-sectional area divided by EEM cross-sectional area), minimum lumen area (MLA) and diameter, percent stenosis, and absolute volume and percentage of total plaque volume reported for each plaque component (fibrous tissue [FT], fibrofatty tissue [FF], necrotic core [NC], dense calcium [DC]). Percent

atheroma volume was calculated as the proportion of the entire vessel wall occupied by atherosclerotic plaque throughout the segment of interest:

$$\text{Percent atheroma volume} = \frac{(\text{EEM}_{\text{volume}} - \text{Lumen}_{\text{volume}})}{\text{EEM}_{\text{volume}}} \times 100$$

Analyses were performed on VH-IVUS data to determine the lesion type (a lesion was defined as having more than three consecutive slices with  $\geq 40\%$  plaque burden) and a frame-by-frame analysis was then performed to classify the lesion subtype (**Supplementary Figure 1**) according to definitions from a consensus document<sup>22</sup>. Percentage plaque phenotype was calculated as: the (number of frames containing plaque phenotype / total number of frames of the vessel)  $\times 100$ . Lesion-specific data and whole-patient data are presented separately. When a patient had multiple lesions, mean values were taken for calculation of % lesion types.

The composite major adverse cardiovascular events (MACE) was defined as: all-cause death, myocardial infarction (MI), stroke, unplanned repeat revascularisation, bleeding, and all-cause re-hospitalisation at one year. Only the event occurring first was counted. Significant bleeding was defined as per the Bleeding Academic Research Consortium (BARC) criteria<sup>23</sup>. All one-year outcomes were ascertained at the follow-up appointment.

## STATISTICAL ANALYSIS

Assuming that (a) one third of participants would be classified as robust, (b) based on prior studies<sup>17,22</sup> high-risk lesions (e.g., TCFA) would be present in 30% of the robust patients, and (c) the combined pre-frail and frail phenotypes would be associated with an approximate relative risk (RR) of 2, a sample size of at least  $n=93$  was required to achieve a power of 80%, with a type I error ( $\alpha$ ) of 0.05<sup>24</sup>. At the time of the design of the study, we had planned to recruit 100 of the total 300 patients in the ICON1 study into the invasive imaging study, given the fact that we had anticipated that it would not be feasible to perform three-vessel imaging in all recruited patients.

Continuous variables are presented in the form of mean (standard deviation [SD]) or median (interquartile range [IQR]); discrete variables are presented as absolute numbers (percentage). Tests of normality were performed for all variables. The Student's t-test or one-way ANOVA was performed for the comparison of normally distributed, continuous variables; the Mann-Whitney U test was used for non-normally distributed variables for independent samples. The chi-square test ( $\chi^2$ ) or Fisher's exact test was used for comparison of discrete variables. The association of relevant risk factors (age, sex, history of hypertension, diabetes, and frailty) with plaque phenotype was examined by logistic regression models in unadjusted models. Age- and sex-adjusted models were also used to examine the relationship between frailty and plaque phenotype.

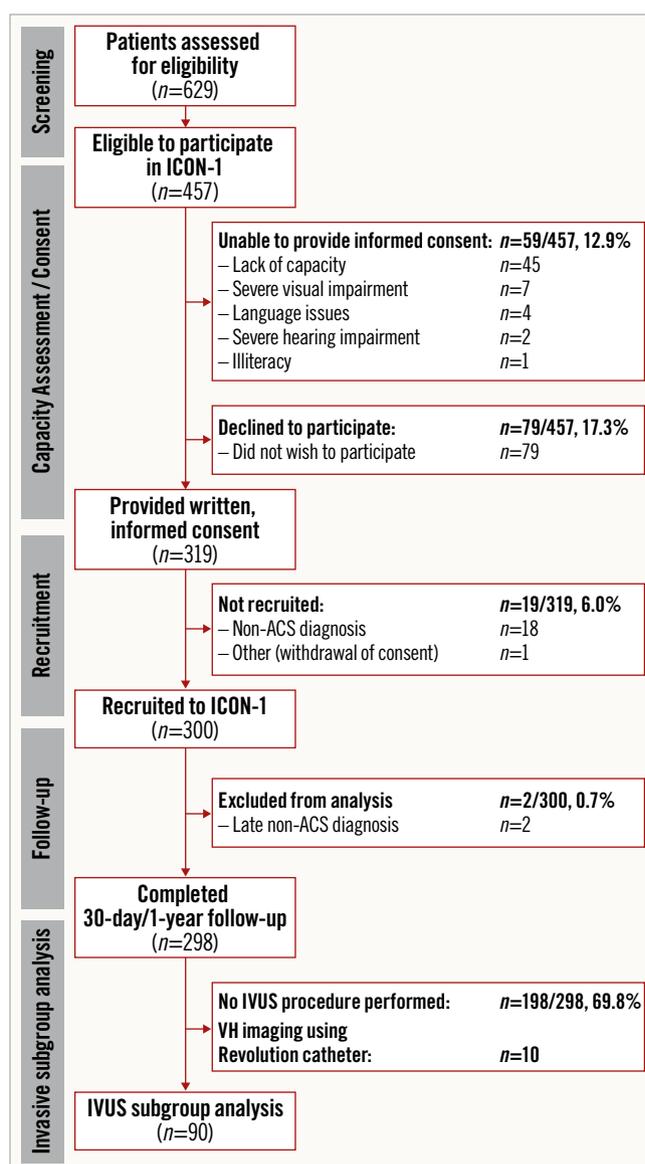
This substudy was not powered to evaluate clinical outcomes and was thus performed as an exploratory analysis only. The

Kaplan-Meier (KM) method was used to estimate composite end-point-free survival curves; the log-rank test was used to ascertain equality of event-free survival curves among groups. The proportional hazard assumption was tested. A Cox regression model was used to obtain the hazard ratio (HR). A two-tailed p-value  $< 0.05$  was used as the threshold for statistical significance. SPSS, Version 23.0 (IBM Corp., Armonk, NY, USA) software was used for all statistical analyses.

## Results

### STUDY RECRUITMENT AND BASELINE CHARACTERISTICS

Ninety patients (age  $80.9 \pm 3.8$  years, 59 [65.6%] male) were included in the VH-IVUS substudy (**Figure 1**). The reasons for exclusion are shown in **Supplementary Figure 2**.



**Figure 1.** Flow diagram of ICON1 screening, recruitment, and invasive subgroup analysis. ACS: acute coronary syndrome; IVUS: intravascular ultrasound; VH: virtual histology

## BASELINE CHARACTERISTICS OF VH-IVUS SUBGROUPS

The baseline and procedural characteristics of the study patients are displayed in **Table 1** and **Table 2**. Twenty-six (28.9%) participants were robust, 49 (54.4%) were pre-frail, and 15 (16.7%) were frail. Frail patients were older ( $p=0.017$ ), with higher GRACE 2.0 scores ( $p=0.026$ ). The VH-IVUS data are displayed in **Table 3**, **Table 4**, **Supplementary Table 1** and **Supplementary Table 2**. The total length of the coronary artery imaged per patient was 97.7 (IQR 82.3) mm, and the median number of IVUS frames analysed per patient was 212 (IQR 182) frames. The pre-frail group was associated with the smallest MLA in culprit lesions ( $p=0.019$ , robust vs. pre-frail;  $p=0.001$ , pre-frail vs. frail).

## PRIMARY OUTCOME MEASURE

Overall, combining culprit and non-culprit lesions, VH-TCFA (defined as thin-cap fibroatheroma and calcified thin-cap fibroatheroma combined) was present in 58 (64.4%) patients. There was a significant difference in the occurrence of VH-TCFA between robust and pre-frail patients (46.2% in robust vs. 75.5% in pre-frail vs. 60% in frail,  $p=0.011$  for robust vs. pre-frail) (**Table 4**).

Importantly, frailty phenotype (defined as Fried frail and pre-frail combined) was independently associated with the presence of high-risk plaque phenotypes in age- and sex-adjusted logistic regression analyses (**Supplementary Table 3**): TCFA (odds ratio [OR] 2.81, 95% confidence interval [CI]: 1.06-7.48;  $p=0.039$ ).

## SECONDARY ANALYSIS

Frail patients had the highest DC % to total plaque volume ( $p=0.02$ , pre-frail vs. frail;  $p=0.037$ , robust vs. frail), and the lowest NC/DC ratio ( $p=0.004$ , pre-frail vs. frail;  $p=0.014$ , robust vs. frail). In addition to TCFA, patients in the pre-frail group also had the highest proportion of other PROSPECT-defined high-risk plaque lesions: MLA  $\leq 4$  mm<sup>2</sup> (38.5% vs. 67.3% vs. 46.7%,  $p=0.016$  for robust vs. pre-frail), TCFA+MLA  $\leq 4$  mm<sup>2</sup> (19.2% vs. 53.1% vs. 33.3%,  $p=0.005$  for robust vs. pre-frail), TCFA+PB  $\geq 70\%$  (23.1% vs. 57.1% vs. 46.7%,  $p=0.005$  for robust vs. pre-frail), TCFA+PB  $\geq 70\%$ +MLA  $\leq 4$  mm<sup>2</sup> (15.4% vs. 51% vs. 33.3%,  $p=0.003$  for robust vs. pre-frail), with significant difference being found only between the robust and pre-frail groups.

**Table 1. Baseline characteristics stratified by Fried frailty status.**

	Total (n=90)	Robust (n=26)	Pre-frail (n=49)	Frail (n=15)	p-value
<b>Demographics</b>					
Age, years (SD)	80.9 (3.8)	79.3 (3.0)	81.2 (4.0)	82.6 (3.8)	0.017
Male, n (%)	59 (65.6)	16 (61.5)	35 (71.4)	8 (53.3)	0.38
<b>Clinical measures</b>					
BMI, kg/m <sup>2</sup> (SD)	26.9 (4.2)	27.7 (3.9)	26.8 (4.2)	25.6 (4.7)	0.32
NYHA III or IV, n (%)	11 (12.4)	1 (4)	6 (12.2)	4 (26.7)	0.15
CCS III or IV, n (%)	10 (11.2)	2 (8)	6 (12.2)	2 (13.3)	0.86
MOCA, points (SD)	25 (3)	26 (3)	26 (3)	23.5 (5)	0.11
GRACE score, points (SD)	130.5 (18.2)	124.4 (14.0)	130.9 (19.2)	140.6 (18.5)	0.026
<b>Medical history</b>					
Hypertension, n (%)	56 (62.2)	16 (61.5)	28 (57.1)	12 (80)	0.28
Diabetes, n (%)	19 (21.1)	3 (11.5)	10 (20.4)	6 (40)	0.11
Hyperlipidaemia, n (%)	45 (50)	13 (50)	25 (51)	7 (46.7)	1.0
Family history of IHD, n (%)	28 (31.8)	10 (41.7)	11 (22.4)	7 (46.7)	0.09
Renal impairment, n (%)	15 (16.7)	4 (15.4)	8 (16.3)	3 (20)	0.86
Previous MI, n (%)	17 (18.9)	6 (23.1)	7 (14.3)	4 (26.7)	0.41
Previous angina, n (%)	28 (31.1)	4 (15.4)	18 (36.7)	6 (40)	0.11
CCF, n (%)	5 (5.6)	1 (3.8)	3 (6.1)	1 (6.7)	1.0
PVD, n (%)	6 (6.7)	0 (0)	4 (8.2)	2 (13.3)	0.19
Previous TIA/stroke, n (%)	13 (14.4)	1 (3.8)	8 (16.3)	4 (26.7)	0.10
COPD, n (%)	15 (16.7)	2 (7.7)	10 (20.4)	3 (20.0)	0.34
<b>Smoking status</b>					
Smoking history, n (%)	52 (57.8)	14 (53.8)	28 (57.1)	10 (66.7)	0.72
BMI: body mass index; BP: blood pressure; CCF: congestive cardiac failure; CCS: Canadian Cardiovascular Society angina score; COPD: chronic obstructive pulmonary disease; GRACE: Global Registry of Acute Coronary Events; IHD: ischaemic heart disease; IQR: interquartile range; MI: myocardial infarction; MOCA: Montreal Cognitive Assessment; NYHA: New York Heart Association class; PVD: peripheral vascular disease; SD: standard deviation; TIA: transient ischaemic attack					

**Table 2. Procedural and management details stratified by frailty status.**

	Total (n=90)	Robust (n=26)	Pre-frail (n=49)	Frail (n=15)	p-value
NSTEMI, n (%)	72 (80)	22 (84.6)	38 (77.6)	12 (80)	0.77
Time from admission to CA, days (IQR)	5 (4)	5 (3)	5 (3)	5 (4)	1.0
Length of hospital stay, days (IQR)	6 (4)	6 (3)	6 (3)	7 (5)	0.88
Medical management only, n (%)	4 (4.4)	1 (3.8)	3 (6.1)	0 (0)	1.0
Single-vessel PCI, n (%)	58 (64.4)	17 (65.4)	30 (61.2)	11 (73.3)	0.69
Multivessel PCI, n (%)	28 (31.1)	8 (30.8)	16 (32.7)	4 (26.7)	0.95
Left main stem disease, n (%)	4 (4.4)	1 (3.8)	2 (4.1)	1 (6.7)	0.81
LAD disease, n (%)	54 (60)	16 (61.5)	29 (59.2)	9 (60)	0.98
LCx disease, n (%)	25 (27.8)	7 (26.9)	14 (28.6)	4 (26.7)	1.0
RCA disease, n (%)	36 (40)	11 (42.3)	18 (36.7)	7 (46.7)	0.76
Number of stents, median (IQR)	1 (1)	2 (2)	1 (1)	1 (2)	0.27
Radial access, n (%)	82 (91.1)	24 (92.3)	47 (95.9)	11 (73.3)	0.047
Contrast volume, mL (SD)	188 (71)	192 (74)	184 (71)	197 (72)	0.94
Radiation dose, cGycm <sup>2</sup> (IQR)	6,059 (5,774)	6,063 (5,758)	6,020 (5,695)	6,098 (6,204)	1.0
Periprocedural complications*, n (%)	6 (6.7)	3 (11.5)	2 (4.1)	1 (6.7)	0.38

\* Periprocedural complications: 1 pseudoaneurysm, 1 ventricular fibrillation arrest, 1 left anterior descending artery distal stent dissection which was stented, 1 distal edge dissection, 1 bleeding from right radial puncture site, and 1 loss of side branch which was stented. CA: coronary angiography; IQR: interquartile range; LAD: left anterior descending; LCx: left circumflex; NSTEMI: non-ST-elevation myocardial infarction; PCI: percutaneous coronary intervention; RCA: right coronary artery; SD: standard deviation

**Table 3. Lesion classification before percutaneous coronary intervention.**

Lesion type*	Total (n=278)	Robust (n=72)	Pre-frail (n=159)	Frail (n=47)
Intimal medial thickening	6 (2.2%)	1 (1.4%)	5 (3.1%)	0 (0%)
Fibrotic plaque	7 (2.5%)	1 (1.4%)	6 (3.8%)	0 (0%)
Pathological intimal thickening	14 (5%)	2 (2.8%)	8 (5.0%)	4 (8.5%)
Fibrocalcific plaque	1 (0.3%)	1 (1.4%)	0 (0%)	0 (0%)
Fibroatheroma	35 (12.6%)	15 (20.8%)	15 (9.4%)	5 (10.6%)
Calcified fibroatheroma	118 (42.4%)	32 (44.4%)	63 (39.6%)	23 (48.9%)
Thin-cap fibroatheroma	14 (5%)	2 (2.8%)	12 (7.5%)	0 (0%)
Calcified thin-cap fibroatheroma	83 (29.9%)	18 (25%)	50 (31.4%)	15 (31.9%)

\*Lesion classification – 278 lesions in 90 patients.

**Table 4. Primary outcome measure: plaque characteristics – at patient level (i.e., combining culprit and non-culprit lesions).**

Variables	Total (n=90)	Robust (n=26)	Pre-frail (n=49)	Frail (n=15)	p-values		
					Robust vs. Pre-frail	Pre-frail vs. Frail	Robust vs. Frail
Presence of TCFA, n (%)*	58 (64.4)	12 (46.2)	37 (75.5)	9 (60)	0.011	0.33	0.39
MLA ≤4 mm <sup>2</sup> , n (%)	50 (55.6)	10 (38.5)	33 (67.3)	7 (46.7)	0.016	0.15	0.61
PB ≥70%, n (%)	55 (61.1)	14 (53.8)	33 (67.3)	8 (53.3)	0.25	0.32	0.98
TCFA+MLA ≤4 mm <sup>2</sup> , n (%)	36 (40)	5 (19.2)	26 (53.1)	5 (33.3)	0.005	0.18	0.45
TCFA+PB ≥70%, n (%)	41 (45.6)	6 (23.1)	28 (57.1)	7 (46.7)	0.005	0.48	0.17
TCFA+PB ≥70%+MLA ≤4 mm <sup>2</sup> , n (%)	34 (37.8)	4 (15.4)	25 (51)	5 (33.3)	0.003	0.23	0.25

\* A sample size of at least n=93 was required to achieve power of 80%, with type I error (α) of 0.05 to detect difference in TCFA between frailty groups as pre-defined in study protocol. MLA: minimum lumen area; PB: plaque burden; TCFA: thin-cap fibroatheroma

Further analysis was undertaken by analysing the combined pre-frail and frail group vs. the robust group (frail vs. non-frail). The combined pre-frail and frail group had a significantly higher prevalence of PB  $\geq 70\%$  ( $p=0.03$ ), and % atheroma volume ( $p=0.02$ ) in the non-culprit artery. The frail group (pre-frail+frail) also had a greater presence of high-risk lesions: TCFA ( $p=0.02$ ), MLA  $\leq 4$  mm<sup>2</sup> ( $p=0.04$ ), TCFA+MLA  $\leq 4$  mm<sup>2</sup> ( $p=0.01$ ), TCFA+PB  $\geq 70\%$  ( $p=0.006$ ), and TCFA+PB  $\geq 70\%$ +MLA  $\leq 4$  mm<sup>2</sup> ( $p=0.005$ ).

Importantly, frailty phenotype (defined as Fried frail and pre-frail combined) was also independently associated with the presence of other high-risk plaque phenotypes on logistic regression analyses (**Supplementary Table 3**): MLA  $\leq 4$  mm<sup>2</sup> (OR 3.07, 95% CI: 1.15-8.2), the presence of TCFA+MLA  $\leq 4$  mm<sup>2</sup> (OR 4.07, 95% CI: 1.34-12.42), TCFA+PB  $\geq 70\%$  (OR 3.79, 95% CI: 1.32-10.91), and the presence of all three combined (TCFA+PB  $\geq 70\%$ +MLA  $\leq 4$  mm<sup>2</sup>; OR 4.89, 95% CI: 1.48-16.13).

### EXPLORATORY CLINICAL OUTCOMES DATA

Eighty-nine patients completed one-year follow up. Frail patients had a higher MACE rate ( $p=0.04$ ) driven by a higher rate of all-cause rehospitalisation ( $p=0.013$ ) (**Supplementary Table 4**). Twenty-eight rehospitalisation episodes occurred in 25 patients, comprising admissions due to: cardiovascular (10; 35.7%), gastroenterological (5; 17.9%), neoplastic (3; 10.7%), renal (2; 7.1%), pulmonary (1; 3.6%), neurological (1; 3.6%), and other (6; 21.4%) causes.

At one year, the pre-frail/frail phenotype was associated with higher MACE ( $p=0.04$ ) by KM survival analysis (**Supplementary Figure 3A, Supplementary Figure 3B**). Although there was an indication of violation of the proportionality assumption from KM curves, the Cox regression model provided a reasonably well-fitted survival curve to the observed survival curve (**Supplementary Figure 4**) and was therefore used to identify predictors of a MACE endpoint. Relative to frail patients, robust patients had less chance (HR 0.37,  $p=0.03$ ) of reaching MACE. Additional analysis was also performed, demonstrating a non-significant difference in survival curves in individual and combined plaque phenotypes (**Supplementary Figure 5**).

## Discussion

The present study demonstrated for the first time that, in older patients undergoing invasive management of NSTEMI, high-risk coronary artery lesion phenotypes were more common in frail and pre-frail compared to robust older patients. Frailty is strongly and independently associated with PROSPECT-defined high-risk plaque phenotypes.

Several previous studies have examined coronary artery plaque phenotypes by VH-IVUS and their relationship with clinical outcome, including the Providing Regional Observations to Study Predictors of Events in the Coronary tree (PROSPECT) study<sup>18</sup>, the Virtual Histology in Vulnerable Atherosclerosis (VIVA) study<sup>16</sup>, and the European Collaborative Project on Inflammation and Vascular Wall Remodeling in Atherosclerosis - Intravascular

Ultrasound (ATHEROREMO-IVUS) study<sup>17</sup>. These previous three main VH-IVUS clinical outcomes registries evaluated a much younger patient population (PROSPECT: median age 58.1 years; VIVA: median age 63.1 years; ATHEROREMO-IVUS: mean age 61.6 years); frailty phenotype was not examined. Our study is the first report of an association between VH-IVUS-based plaque classification and patient frailty phenotype in this growing high-risk older patient population. Overall, the presence of vulnerable plaque was shown to be high (64.4% at patient level in ICON1; 50.2% in PROSPECT; and 41.7% in ATHEROREMO-IVUS).

A number of important differences between our study (ICON1) and previously reported studies may explain the higher prevalence of high-risk coronary lesion phenotypes. Firstly, the ICON1 study included a much older, high-risk population which may be associated with a higher prevalence of high-risk plaques. Secondly, our study contained high-risk NSTEMI patients, whereas VIVA and ATHEROREMO-IVUS contained both stable angina and ACS patients. Thirdly, the VH-TCFA definitions varied among studies. The VIVA and ATHEROREMO-IVUS studies both required confluent necrotic core  $>10\%$  of plaque cross-sectional area to be in contact with the vessel lumen for three consecutive frames; the PROSPECT study required confluent necrotic core of  $>10\%$  plaque area to be in contact with the lumen for a  $\geq 30^\circ$  arc for three consecutive frames. In ICON1, we used the definition set by the published consensus document which updated and unified VH-IVUS imaging analysis and plaque definitions after the PROSPECT, VIVA, and ATHEROREMO-IVUS studies were conducted, where confluent necrotic core  $>10\%$  of plaque area was required to be in contact with the lumen for a  $>36^\circ$  arc for three consecutive frames. This may have reduced the proportion of lesions being reported by VH-IVUS; thus, the "actual" VH-TCFA prevalence may be even higher in this high-risk older patient group. Fourthly, we obtained IVUS images prior to PCI including both culprit and non-culprit lesions, whereas only non-culprit lesions were analysed in PROSPECT as IVUS images were obtained after PCI. Furthermore, the In-Vision Gold console (Philips Volcano) was used in PROSPECT instead of the s5 for the VH algorithm used in our study; the amount of necrotic core detected may therefore differ.

No previous study has shown the association of frailty with coronary artery plaque phenotype. For the first time, in our study we have shown that frailty was independently associated with high-risk plaque phenotype which is a novel finding that might explain the association of frail patients with adverse outcomes. Importantly in our study, radial access was achieved in 91.1% of cases. The Fried frailty assessment (slow walking/getting up from chair component) would not have been affected in the majority of patients. Frail older patients are often denied advanced care, including angiography and revascularisation, due to fear of futility and complications. The definitive benefit of coronary angiography and revascularisation among frail older patients ( $\geq 75$  years of age) presenting with NSTEMI is currently being evaluated in the ongoing British Heart Foundation SENIOR-RITA trial (ClinicalTrials.gov NCT03052036).

## Study limitations

The ICON1 study recruited patients who have been referred to tertiary cardiac centres for coronary angiography, and it is thus possible that the oldest and frailest patients who were not offered invasive management were not included in our study. This current subgroup analysis study was also limited by the selective patient cohort, with particular coronary anatomy features suitable for VH-IVUS imaging and PCI, constraints from cardiac catheter laboratory operators, other urgent cases waiting, and time and other constraints in the catheter laboratory (**Supplementary Figure 2**). Furthermore, the small sample size also restrains statistical power to determine association of plaque phenotype with clinical outcomes. Nevertheless, for the first time our study has provided important insights into the coronary artery plaque phenotype in this older frail patient cohort. Moreover, we attempted to recruit very high-risk frail older patients involving a complex invasive study protocol. The study was successfully executed with important unique findings in this patient cohort.

## Conclusions

This is the first study to demonstrate differences in the coronary plaque phenotype among frail older patients presenting with NSTEMI. Frailty is strongly and independently associated with high-risk plaque phenotypes including TCFA.

### Impact on daily practice

Frail, older patients are at higher risk of poor outcomes following an acute coronary syndrome. In our study, frailty was strongly and independently associated with high-risk plaque phenotypes, which might contribute to adverse events in this group of patients. Older patients should be offered contemporary treatments to improve their clinical outcomes.

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## Conflict of interest statement

The authors have no conflicts of interest to declare.

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## Supplementary data

**Supplementary Figure 1.** Decision tree for lesion classification on VH-IVUS with image examples<sup>19,22</sup>.

**Supplementary Figure 2.** Study flow chart showing reasons for exclusion from VH-IVUS subgroup analysis, and characteristics of imaged arteries.

**Supplementary Figure 3A and Supplementary Figure 3B.** Kaplan-Meier plots demonstrating time to first MACE.

**Supplementary Figure 4.** Cox regression model: predicted survival curve vs. observed survival curve.

**Supplementary Figure 5.** Kaplan-Meier plots demonstrating time to first MACE.

**Supplementary Table 1.** Plaque characteristics (lesion level).

**Supplementary Table 2.** Plaque characteristics – patient level (i.e., combining culprit and non-culprit lesions).

**Supplementary Table 3.** Clinical predictors of vulnerable plaque type.

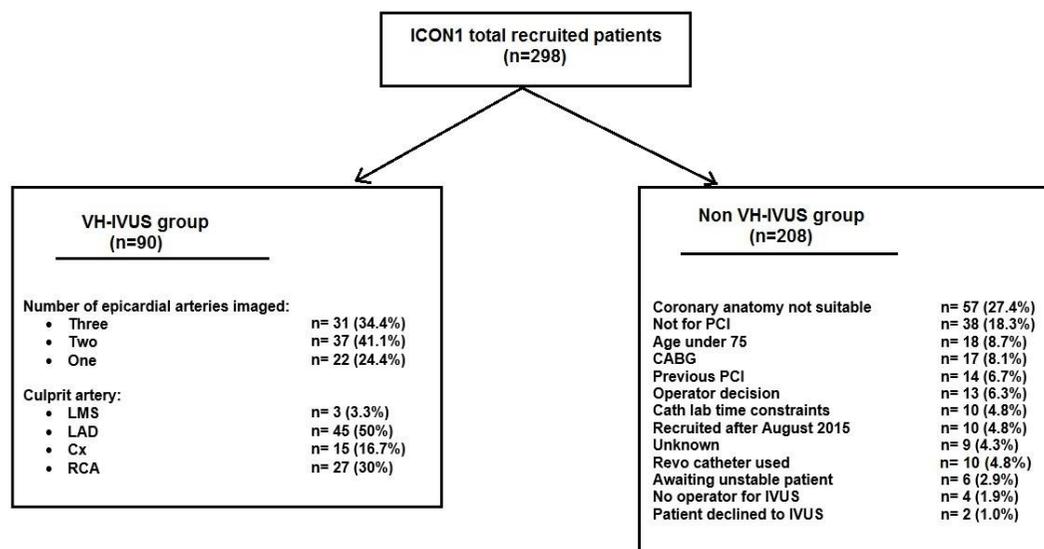
**Supplementary Table 4.** One-year outcomes stratified by frailty status.

The supplementary data are published online at:

<https://eurointervention.pronline.com/doi/10.4244/EIJ-D-18-00848>

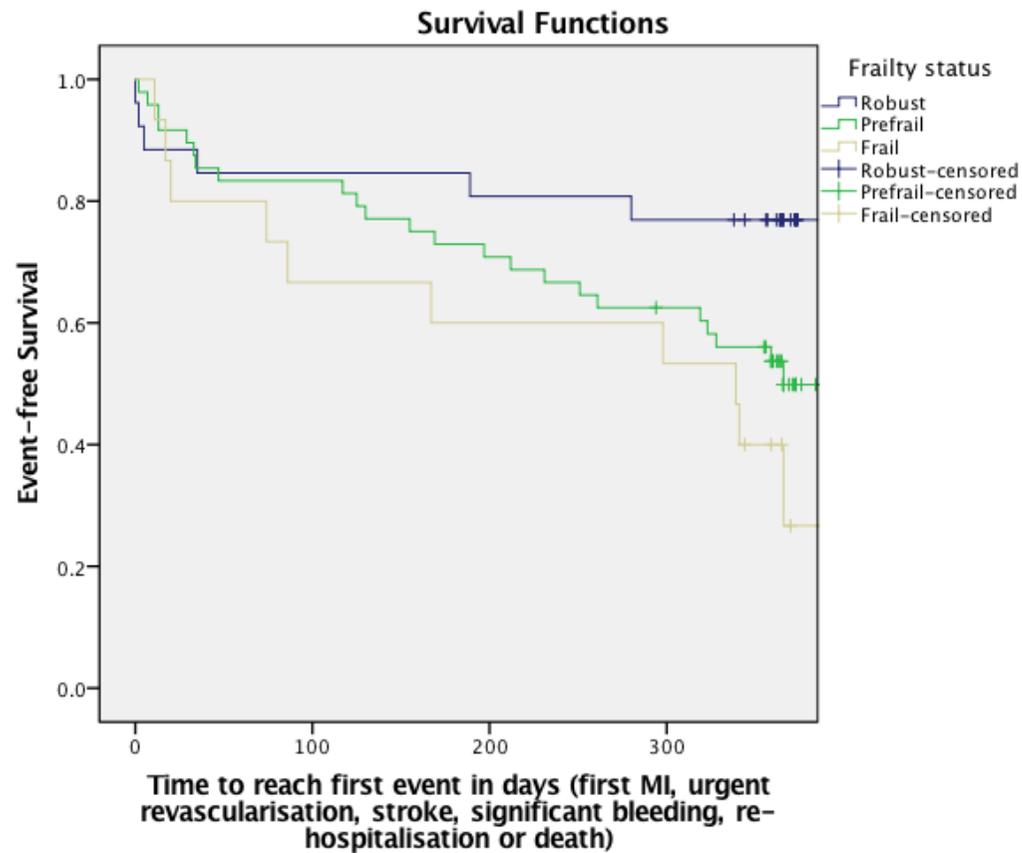






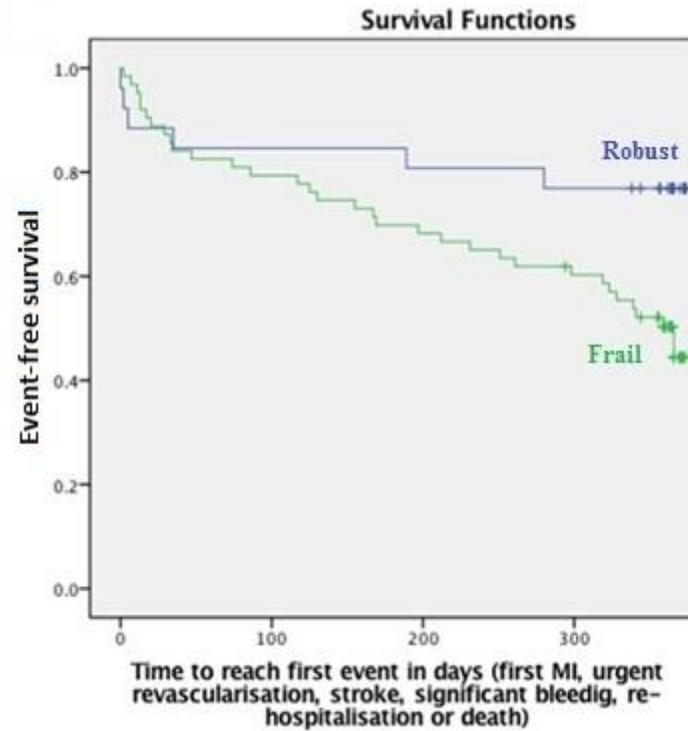
**Supplementary Figure 2.** Study flow chart showing reasons for exclusion from VH-IVUS subgroup analysis, and characteristics of imaged arteries.

CABG: coronary artery bypass graft; Cx: left circumflex artery; IVUS: intravascular ultrasound; LAD: left anterior descending artery; LMS: left main stem; PCI: percutaneous coronary intervention; RCA: right coronary artery; VH: virtual histology



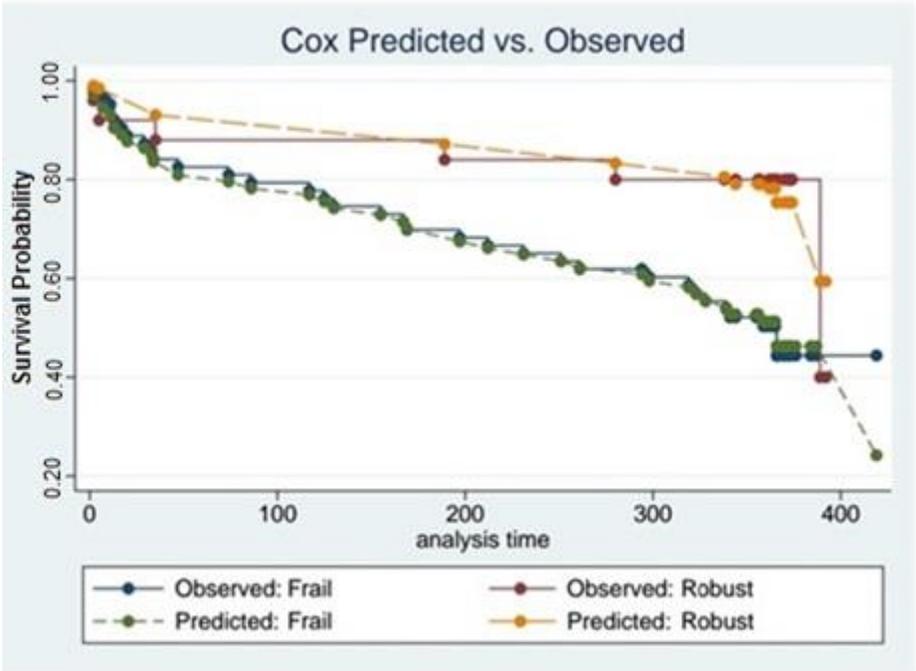
**Supplementary Figure 3A.** Kaplan-Meier plot, demonstrating time to first MACE. Log-rank test for equality of survival distributions demonstrates a significant difference between the survival curves ( $X^2=6.029$ , 2 degrees of freedom,  $p=0.049$ ).

MACE: major adverse cardiovascular events; MI: myocardial infarction

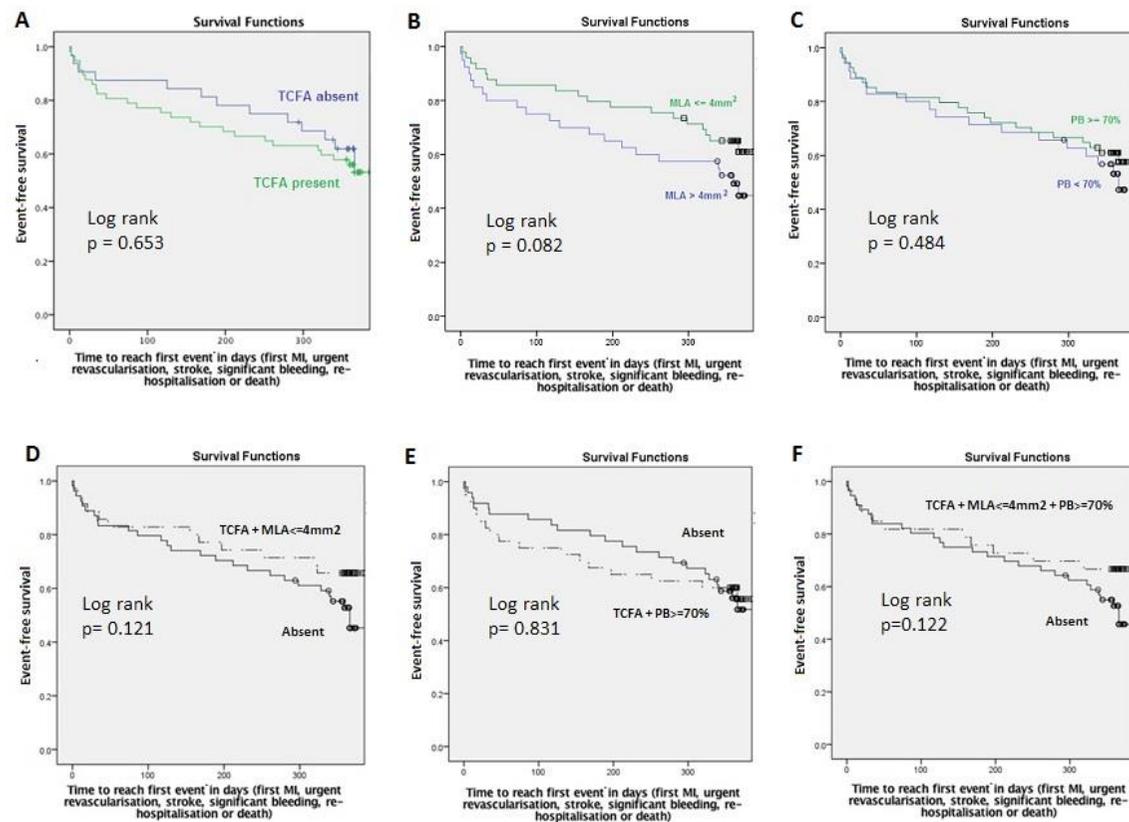


**Supplementary Figure 3B.** Kaplan-Meier plot, demonstrating time to first MACE. Log-rank test for equality of survival distributions demonstrates a significant difference between the survival curves ( $X^2=4.243$ , 1 degree of freedom,  $p=0.039$ ).

MACE: major adverse cardiovascular events; MI: myocardial infarction



**Supplementary Figure 4.** Cox regression model: predicted survival curve vs. observed survival curve, indicating a well-fitted model using Cox proportional hazards model.



**Supplementary Figure 5.** Kaplan-Meier plots demonstrating time to first MACE, showing non-significant difference between the survival curves in high-risk lesion types. (A) TCFA to first MACE; (B) MLA  $\leq 4\text{ mm}^2$  to first MACE; (C) PB  $\geq 70\%$  to first MACE; (D) TCFA and MLA  $\leq 4\text{ mm}^2$  to first MACE; (E) TCFA and PB  $\geq 70\%$  to first MACE; (F) TCFA and MLA  $\leq 4\text{ mm}^2$  and PB  $\geq 70\%$  to first MACE. MACE: major adverse cardiovascular events; MI: myocardial infarction; MLA: minimum lumen area; PB: plaque burden; TCFA: thin-cap fibroatheroma

**Supplementary Table 1. Plaque characteristics (lesion level).**

Variables	Overall (n=90)	Robust (n=26)	Pre-frail (n=49)	Frail (n=15)	<i>p</i> -values		
					Robust vs. Pre-frail	Pre-frail vs. Frail	Robust vs. Frail
Culprit length, mm - mean (SD)	49.1 (27.6)	47.0 (32.6)	55.0 (25.5)	36.3 (20.6)	0.28	<b>0.014</b>	0.26
MLA, mm <sup>2</sup> - median (IQR)	3.9 (2.3)	4.3 (2.3)	3.3 (1.8)	4.8 (2.8)	<b>0.019</b>	<b>0.001</b>	0.21
Worst stenosis, % - mean (SD) <sup>a</sup>	70.8 (11.5)	68.3 (13.9)	73.1 (10.6)	68.5 (8.7)	0.13	0.14	0.96
Culprit % FA, % - median (IQR)	11.9 (19.8)	7.1 (18.3)	15.6 (22.0)	7.4 (15.8)	0.11	0.053	0.81
Culprit % Ca-FA, % - median (IQR)	23.9 (31.1)	19.8 (29.9)	24.0 (23.8)	53.5 (33.9)	0.15	<b>0.026</b>	<b>0.004</b>
Culprit % TCFA, % - median (IQR)	0 (4.2)	0 (1.7)	1.2 (5.9)	0 (1.5)	<b>0.007</b>	<b>0.012</b>	0.75
Culprit % Ca-TCFA, % - median (IQR)	5.3 (11.7)	2.2 (13.2)	5.8 (9.2)	7.9 (12.7)	0.24	0.85	0.52
Culprit PB ≥70% - n (%)	44 (54.3)	12 (50)	26 (61.9)	6 (40)	0.35	0.14	0.54
Culprit % atheroma volume, % - mean (SD)	49.1 (12.0)	46.0 (11.1)	50.9 (13.3)	49.3 (8.5)	0.12	0.64	0.34
Non-culprit length, mm - median (IQR)	69.6 (60.5)	59.1 (45.5)	75.1 (53.4)	67.6 (70.8)	0.13	0.57	0.83
Non-culprit % FA, % - median (IQR)	9.7 (17.1)	5.2 (18.1)	11.7 (15.7)	6.1 (7.7)	<b>0.023</b>	<b>0.013</b>	0.84
Non-culprit % Ca-FA, % - median (IQR)	20.5 (27.6)	14.7 (41.0)	20.9 (27.3)	22.9 (34.0)	0.42	0.57	0.17
Non-culprit % TCFA, % - median (IQR)	0.9 (2.9)	0.6 (2.4)	1.5 (3.8)	0.3 (1.7)	0.06	<b>0.031</b>	0.72

Non-culprit % Ca-TCFA, % - median (IQR)	3.9 (11.5)	1.8 (7.6)	4.1 (14.8)	4.9 (9.1)	0.053	0.59	0.054
Non-culprit PB $\geq$ 70% - n (%)	35 (44.9)	6 (26.1)	23 (54.8)	6 (46.2)	<b>0.026</b>	0.59	0.28
Non-culprit % atheroma volume, % - mean (SD)	42.5 (10.4)	38.4 (9.8)	44.9 (9.7)	42.2 (12.1)	<b>0.012</b>	0.41	0.31

<sup>a</sup>: worst stenosis is the largest area stenosis of all lesions.

Ca-FA: calcified fibroatheroma; Ca-TCFA: calcified thin-cap fibroatheroma; FA: fibroatheroma; IQR: interquartile range; MLA: minimum lumen area; PB: plaque burden; SD: standard deviation; TCFA: thin-cap fibroatheroma

**Supplementary Table 2. Plaque characteristics - patient level (i.e. combining culprit and non-culprit lesions).**

Variables	Overall (n=90)	Robust (n=26)	Pre-frail (n=49)	Frail (n=15)	<i>p</i> -values		
					Robust vs. Pre-frail	Pre-frail vs. Frail	Robust vs. Frail
Total number of arteries imaged - median (IQR)	2 (1)	2 (2)	2 (2)	3 (1)	0.58	0.14	0.39
Total number of lesions - median (IQR)	3 (2)	2.5 (2)	3 (2)	3 (2)	0.31	0.91	0.35
Total length, mm - median (IQR)	97.7 (82.3)	88.8 (56.1)	105.7 (88.3)	97.3 (93.0)	0.39	0.37	0.96
Total frame number - median (IQR)	212 (182)	187 (145)	218 (204)	228 (206)	0.42	0.96	0.41
FT % total plaque volume, % - mean (SD)	52.7 (9.9)	52.7 (12.2)	54.2 (8.7)	47.9 (8.2)	0.54	<b>0.015</b>	0.18
FF % total plaque volume, % - median (IQR)	12.1 (8.9)	12.3 (9.5)	11.6 (8.5)	13.4 (10.6)	0.88	0.38	0.43
NC % total plaque volume, % - median (IQR)	20.0 (7.7)	21.3 (9.3)	19.9 (6.8)	19.5 (7.1)	0.88	0.57	0.75
DC % total plaque volume, % - median (IQR)	13.2 (8.0)	12.5 (7.5)	12.6 (8.4)	15.6 (11.3)	0.64	<b>0.02</b>	<b>0.037</b>
Total NC/DC, ratio - median (IQR)	1.5 (0.9)	1.7 (0.9)	1.5 (1.0)	1.2 (0.4)	0.92	<b>0.004</b>	<b>0.014</b>
Total % IMT, % - median (IQR)	0 (1.06)	0 (0)	0 (1.32)	0 (0.31)	<b>0.035</b>	0.22	0.61
Total % FP, % - median (IQR)	0.8 (2.2)	0.4 (1.3)	1.1 (2.9)	0.35 (1.9)	<b>0.044</b>	0.22	0.76
Total % PIT, % - median (IQR)	2.3 (6.3)	1.5 (6.8)	2.3 (8.1)	2.9 (5.0)	0.47	0.52	0.98
Total % FC, % - median (IQR)	0 (0)	0 (0)	0 (0)	0 (0.5)	0.55	<b>0.004</b>	0.08
Total % FA, % - median (IQR)	11.7 (12.8)	10.7 (10.6)	14.7 (14.7)	7.4 (7.3)	0.15	<b>0.016</b>	0.18
Total % Ca-FA, % - median (IQR)	22.7 (24.0)	18.6 (33.3)	22.2 (14.8)	26.6 (40.6)	0.44	0.19	0.058
Total % TCFA, % - median (IQR)	0.9 (3.3)	0.7 (2.5)	2.4 (4.4)	0.4 (1.8)	<b>0.022</b>	<b>0.018</b>	0.56

Total % Ca-TCFA, % - median (IQR)	5.1 (9.7)	3.9 (7)	5.2 (10.1)	5.7 (12.7)	0.09	0.36	0.058
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<sup>a</sup>: A sample size of at least n=93 was required to achieve power of 80%, with type I error ( $\alpha$ ) of 0.05 to detect difference in TCFA between frailty groups as pre-defined in study protocol.

Ca-FA: calcified fibroatheroma; Ca-TCFA: calcified thin-cap fibroatheroma; DC: dense calcium; FA: fibroatheroma; FC: fibrocalcific plaque; FF: fibro-fatty; FP: fibrotic plaque; FT: fibrous tissue; IMT: intimal medial thickening; IQR: interquartile range; MLA: minimum lumen area; NC: necrotic core; PB: plaque burden; PIT: pathological intimal thickening; SD: standard deviation; TCFA: thin-cap fibroatheroma

Supplementary Table 3. Clinical predictors of vulnerable plaque type.

Variable		TCFA		MLA $\leq 4 \text{ mm}^2$		PB $\geq 70\%$	
		OR [95% CI]	<i>p</i> -value	OR [95% CI]	<i>p</i> -value	OR [95% CI]	<i>p</i> -value
Unadjusted	Age (over 85 vs. under 85)	1.46 [0.42-5.09]	0.55	0.77 [0.25-2.40]	0.65	1.17 [0.36-3.84]	0.79
	Sex (male vs. female)	1.88 [0.76-4.6]	0.17	0.86 [0.36-2.06]	0.73	1.22 [0.5-2.95]	0.67
	Hypertension	0.98 [0.40-2.39]	0.97	0.67 [0.28-1.58]	0.36	0.52 [0.21-1.28]	0.15
	Diabetes	1.72 [0.56-5.31]	0.35	0.38 [0.13-1.08]	0.07	0.64 [0.23-1.78]	0.40
	Frailty (pre-frail+frail vs. robust)	2.98 [1.16-7.66]	<b>0.023</b>	2.67 [1.04-6.82]	<b>0.04</b>	1.53 [0.61-3.85]	0.37
Age- and sex- adjusted model	Frailty (pre-frail+frail vs. robust)	2.81 [1.06-7.48]	<b>0.039</b>	3.07 [1.15-8.20]	<b>0.025</b>	1.49 [0.57-3.85]	0.41

CI: confidence interval; MLA: minimum lumen area; OR: odds ratio; PB: plaque burden; TCFA: thin-cap fibroatheroma

**Supplementary Table 4. One-year outcomes, stratified by frailty status.**

<b>1-year outcomes</b>	<b>Total (n=89)</b>	<b>Robust (n=26)</b>	<b>Pre-frail (n=48)</b>	<b>Frail (n=15)</b>	<b>p-value (robust vs. pre-frail vs. frail)</b>
<b>MACE outcome, n (%)</b>	40 (44.9)	7 (26.9)	23 (47.9)	10 (66.7)	<b>0.04</b>
<b>Death, n (%)</b>	3 (3.4)	0 (0)	2 (4.2)	1 (6.7)	0.43
<b>Myocardial infarction, n (%)</b>	7 (8.1)	1 (3.8)	4 (8.7)	2 (14.3)	0.42
<b>Death/myocardial infarction, n (%)</b>	9 (10.1)	1 (3.8)	5 (10.4)	3 (20)	0.21
<b>Urgent revascularisation, n (%)</b>	4 (4.7)	1 (3.8)	2 (4.3)	1 (7.1)	0.81
<b>Stroke, n (%)</b>	1 (1.2)	0 (0)	1 (2.2)	0 (0)	1
<b>Significant bleeding, n (%)</b>	12 (14)	4 (15.4)	8 (17.4)	0 (0)	0.30
<b>All-cause re-hospitalisation, n (%)</b>	25 (30.1)	4 (15.4)	13 (29.5)	8 (61.5)	<b>0.013</b>
<b>CV-cause re-hospitalisation, n (%)</b>	9 (10.8)	3 (11.5)	3 (6.8)	3 (23.1)	0.20
<b>Stable angina, n (%)</b>	10 (12.8)	2 (8)	5 (12.2)	3 (25)	0.34
<b>Elective PCI, n (%)</b>	5 (6.3)	3 (11.5)	1 (2.4)	1 (8.3)	0.23
<b>CCF, n (%)</b>	4 (5)	0 (0)	2 (4.8)	2 (16.7)	0.09
<b>TIA, n (%)</b>	1 (1.3)	1 (3.8)	0 (0)	0 (0)	0.48
<b>Institutional care requirement, n (%)</b>	1 (1.4)	0 (0)	1 (2.6)	0 (0)	1

A full description of statistical methods is included in the main text.

Note: the composite endpoint only counts the first event; some patients experienced multiple adverse outcomes.

CCF: congestive cardiac failure; CV: cardiovascular; MACE: major adverse cardiovascular events (including death, myocardial infarction, urgent revascularisation, stroke, significant bleeding); PCI: percutaneous coronary intervention; TIA: transient ischaemic attack

